SHAREHOLDERS SERVICE GROUP

MEMBER SIPC/FINRA/REG'D MSRB

Institutional Custodial Services Exclusively for Independent Registered Investment Advisors

The SSG Separately Managed Accounts Program Powered by ENVESTNET

Shareholders Service Group helps advisors maintain their independence by teaming up with best of breed, industry leading solutions. We are pleased to announce our partnership with Envestnet.

Envestnet, www.envestnet.com, is an integrated, web-based platform which provides access to leading investment managers, unparalleled practice management tools and comprehensive portfolio management and reporting options. It will empower you to create a customized investment experience for your clients. The extensive features include:

- Access to 500 leading institutional asset managers at reduced minimum investments covering all investment options:
  - ◊ Separately managed accounts
  - ◊ Multi-manager accounts
  - ◊ Mutual funds
  - ◊ Alternative investments
  - ◊ Sustainable solutions
  - ◊ Unified managed accounts
  - ◊ Mutual fund solutions
  - ◊ ETF wrap portfolios
  - ◊ Fixed income solutions
  - ◊ Third-party strategists portfolios
- Due diligence and research on hundreds of SMA portfolios, mutual fund wrap, and ETF strategies
- Web-based investment and technology platform for RIAs
- Customized proposal generation system for use with clients and prospects
- Account reconciliation and quarterly performance reports

In addition to having access to the Envestnet platform, your client account remains at SSG via NetX360. We have designed specific applications to make the process easy for you to enroll your clients.

- Generate a ***customized proposal*** (formal presentation in PDF format) for a client or prospect. Included in the proposal is a ***"SIS"*** (Statement of Investment Selection), which serves as the Investment Contract to authorize the investments and fees.
- The client also fills out a ***special SSG/Envestnet application*** as well as other applicable SSG forms (i.e.adoption agreement, transfer, etc.) necessary to establish the account
- Clients would see and pay two fees which would be debited from their SSG account:
  - ◊ Program Fee (Envestnet and Manager) – 60 to 90 bps depending on the product, PLUS the advisor's fee (your fee), set by each RIA firm.
  - ◊ SSG Standard Brokerage (clients see and are assessed our transaction fee on each trade) **OR** the SSG Fee Choice option (asset based pricing in lieu of transaction fees at a rate of 30 bps annually for Envestnet accounts).

Here are the steps necessary to begin a relationship with Envestnet and SSG.

- You must complete the SSG ***"Envestnet RIA Access Agreement".*** We have attached a copy of the agreement. Once received, SSG will have Envestnet send their "***Envestnet Contract***" to you. You must complete their contract in order to utilize the platform. ***There is no cost to advisor to sign up.***
- Once the contract is returned to Envestnet (with your firms information and firm logo), SSG will then provide your firm with a user ID and password. Your firm will have full access to the platform, including proposals, research, performance data, and other resources.
- In conjunction with Envestnet, SSG will conduct Webinars & training sessions to help you navigate the system. On an ongoing basis Envestnet Regional Consultants (listed under the Resources tab on the Envestnet platform) are available to help advisors.

If you have questions about the program please contact us at the below 800 number.